Franklin Templeton 529 College Savings Plan

Transfer Due to Death of Account Owner Form

FRANKLIN TEMPLETON®

529 College Savings Plan

- Use this form to transfer the Account Ownership (or Custodianship on an UGMA/UTMA account) of a Franklin Templeton 529 College Savings Plan Account due to death.
- Complete this form for each new Franklin Templeton 529 College Savings Plan account owner/custodian.
- **Successor Account Owner/Custodian On File:**
  The owner/custodian of an individual Franklin Templeton 529 College Savings Plan account is deceased, a Successor Account Owner/Custodian is designated for the account specified, and transfer of ownership is requested by Successor Account Owner/Custodian. Please include death certificate for the deceased Account Owner/Custodian if one is not already on file. **Note:** If your Account is an UTMA/UGMA Account, the Successor Custodian is only acting in this capacity until the Beneficiary has reached the age of termination.
- **No Successor Account Owner/Custodian On File:**
  The owner/custodian of an individual Franklin Templeton 529 College Savings Plan account is deceased and no Successor Account Owner/Custodian is designated:
  - If Transfer of ownership is being requested by the executor of the decedent's estate, please include death certificate for the deceased Account Owner/Custodian as well as executor of estate documentation.
  - If transfer of ownership is being requested by the beneficiary whom is 18 or older, please include the death certificate for the deceased Account Owner/Custodian as well as the Beneficiary's birth certificate.
  - If transfer of ownership is being requested by the parent or guardian of a beneficiary whom is under 18, please include the death certificate for the deceased Account Owner/Custodian as well legal documentation showing parent or legal guardianship (birth certificate, adoption paperwork, guardianship paperwork).
- If the new Account Owner/Custodian does not already have a Franklin Templeton 529 College Savings Plan Account for the Beneficiary, the new Account Owner/Custodian must establish an account.

(866) 362-1597
Monday to Friday 8:30 a.m. - 8 p.m. ET

Franklintempleton.com

Regular mailing address:
Franklin Templeton 529 College Savings Plan
PO BOX 55961
Boston, MA 02205

Overnight mailing address:
Franklin Templeton 529 College Savings Plan
95 Wells Ave Suite 160
Newton, MA 02459

New accounts can be established with a mailed **Account Application**, or if opening an Entity or Trust Account by completing the **Account Application for an Entity Account**. Print clearly, preferably in capital letters and black ink.

To request assistance in completing this form call us at **(866) 362-1597**, Monday to Friday 8:30 a.m. - 8 p.m. ET.

1. Current Account Information

Deceased Account Owner/Custodian *(First name)* *(M.I.)*

Deceased Account Owner/Custodian *(Last name)*

Last 4 Digits of Social Security Number or Account Number —

Please list Beneficiary name and corresponding account number below.

Beneficiary Name **Account Number** —

NJA TRANSFER DUE TO DEATH AO

2. New Account Information

Account Owner/Custodian *(First name)* *(M.I.)*

Account Owner/Custodian *(Last name)*

Please check one box:

☐ **A Franklin Templeton 529 College Savings Plan Account for this Beneficiary exists for this new Account Owner/ Custodian.** *(Please provide account number.)*

—

Existing Account Number

☐ **A new Franklin Templeton 529 College Savings Plan Account will be established for this Beneficiary**. *(Please include a new **Account Application** with this form.)*

Capacity of Requestor *(Please choose one)***:**

☐ Successor Account Owner/Custodian

☐ Parent/Guardian of Minor Beneficiary

☐ Executor of the Decedent's Estate

☐ Of Age Beneficiary

☐ Other *(Please specify)*

3. Transfer Type

If an option is not selected below, the transfer amount will be allocated accordingly to the new Account's existing allocation for future contributions.

Check one.

☐ I want to transfer the assets in-kind. *(An "in-kind" transfer will move the selected assets over to the receiving account without a change in currently held investment allocations(s).)*

☐ I want to transfer and allocate the assets according to the new Account's current allocations for future contributions. *(By selecting this option, the current investments will be liquidated, and the funds will be deposited into the new Account according to the allocation for future contributions on the new Account.)*

4. Signature — YOU MUST SIGN BELOW

The Requestor/New Account Owner must sign below.

As the Requestor/New Account Owner, I certify that the information provided on this form is true and complete in all respects.

New Account Owner's/Custodian's First Name *(M.I.)*

New Account Owner's/Custodian's Last Name

SIGNATURE

Signature of New Account Owner/Custodian

— —

Date *(mm-dd-yyyy)*

Please only fill out below if you will need your original legal documents returned: *(Optional)*

Recipient First Name *(M.I.)*

Recipient Last Name

Mailing Address

—

City State Zip