FRANKLIN TEMPLETON

IRA TRANSFER / ROLLOVER REQUEST FORM

RIRA FTR 11/21

IMPORTANT INFORMATION:

- Use this form to request a transfer or direct rollover of retirement assets held at another institution to an IRA with Fiduciary Trust International of the South ("FTIOS") as Custodian.
- Only designated Roth assets can be transferred to a Roth IRA. If you intend to convert a non-Roth account (e.g., Traditional IRA) to a Roth IRA or Roth Conversion IRA, do not use this form because this will result in a taxable event. Instead, please use the *Franklin Templeton Roth Conversion Request Form* available at franklintempleton.com.
- If you intend to directly roll over after-tax contributions (e.g., designated Roth contributions) to a Roth Conversion IRA (or Roth IRA) and the pre-tax portion of your plan to a Rollover IRA (or Traditional IRA), please complete two separate forms and ensure clear instructions regarding the handling of your pre-tax and after-tax portions are provided to your plan administrator or employer.
- **Beneficiary Required Minimum Distribution (RMD) information:** To establish systematic withdrawals to satisfy your RMD, complete a *Beneficiary Distribution Request Form* available at franklintempleton.com.

TO TRANSFER RETIREMENT ASSETS HELD AT ANOTHER INSTITUTION TO FTIOS

- Complete this form in its entirety. (A separate form must be completed for each plan type.)
- Include a copy of your most recent account statement from the current institution for each account.
- Original documents are often preferred by the current custodian, we recommend you mail completed documentation to the address listed on this form.

CURRENT INSTITUTION REQUIREMENTS

- Missing requirements may delay or prevent the transfer from initiating. To expedite your request, check with the CURRENT INSTITUTION for any other requirements or documents, such as:
  - ☐ Liquidation of Assets
  - ☐ Outstanding transfer fees (exit fees) that may be owed
  - ☐ Other mandatory documents or forms
  - ☐ Signature Guarantee / STAMP Medallion

If completing by hand, please print clearly in CAPITAL LETTERS using blue or black ink.

If applicable, provide any Franklin Templeton case number(s) related to your request: ______________________

1 ACCOUNT OWNER INFORMATION

First name ______ M.I. ___ Last name ______ Suffix ___ SSN/ITIN ______ Date of birth (mm/dd/yyyy) ______

Email address[1] ______ Primary phone number ( ) ______ Alternate phone number ( ) ______

2 TRANSFER/ROLLOVER INSTRUCTIONS

Identify the type of account you intend to transfer/roll over **(FROM CURRENT INSTITUTION)** and the type of Franklin Templeton IRA retirement plan into which the funds are to be invested **(TO FRANKLIN TEMPLETON)**.

- In addition to this form, you will need to complete the application for the type of Franklin Templeton IRA retirement plan into which the funds are to be invested. (If an FTIOS account is already registered in the account owner's name with the same plan type, then an application is not required.)
- Roth assets are not eligible for rollover treatment to a SIMPLE IRA or SEP IRA.
- SIMPLE IRAs may only be transferred or rolled over to another type of IRA after its 2-year period. SIMPLE IRAs may only accept transfers or rollovers from another type of IRA after its 2-year period. The 2-year period begins on the first day on which your employer deposits contributions in your SIMPLE IRA. Before two years, you may only transfer or roll over to another SIMPLE IRA.

FROM CURRENT INSTITUTION

Transfer from:
- ☐ Traditional IRA or Rollover IRA
- ☐ Roth IRA
- ☐ Roth Conversion IRA
- ☐ SIMPLE IRA *within* its 2-year period
- ☐ SIMPLE IRA *after* its 2-year period
- ☐ SEP IRA
- ☐ SARSEP IRA

Rollover from:
- ☐ 401(k)
- ☐ Profit Sharing *(with no salary deferral option)*
- ☐ Money Purchase Pension Plan
- ☐ 403(b)
- ☐ Other (specify) ______

TO FRANKLIN TEMPLETON

Transfer/Rollover to:
- ☐ Traditional IRA
- ☐ Rollover IRA
- ☐ Roth IRA
- ☐ Roth Conversion IRA
- ☐ SIMPLE IRA
- ☐ SEP IRA
- ☐ SARSEP IRA

INHERITED BENEFICARY INFORMATION

☐ The above-checked IRA has been (or is being) opened as an **"Inherited IRA"** by the beneficiary of the original participant.
Please provide a copy of the death certificate.

Complete the information below:
Name of decedent
First name ______ M.I. ___ Last name ______

Relationship to Beneficiary: ☐ Spouse ☐ Non-Spouse

1. If you currently receive any electronic communications/documents from Franklin Templeton, future communications/documents will be sent to the email address provided on this form, replacing any prior email address on file.

3 CONTACT INFORMATION FOR CURRENT IRA CUSTODIAN OR PLAN TRUSTEE

☐ Check here if you have already initiated this request and this form is not required by the current IRA custodian or plan trustee. Proceed to Section 4.

Please provide contact information for the current IRA custodian or plan trustee below.

Franklin Templeton will forward this document to transfer/roll over retirement assets to your Franklin Templeton IRA. If you do not know the correct address, please verify with the current IRA custodian or plan trustee before you submit this form.

Current IRA custodian or plan trustee	Phone number
	( )

Address	City	State	ZIP

4 TRANSFER IN KIND/LIQUIDATION INSTRUCTIONS

Complete section 4A to process a Transfer in Kind or Rollover of Franklin Templeton Funds and/or complete 4B to process a Transfer/Rollover of non-Franklin Templeton Funds.

Current Custodian/Trustee: Franklin Templeton mutual fund shares should be transferred in kind. *All other securities should be liquidated in accordance with instructions provided below and proceeds sent to FTIOS via check. If a dollar amount or percentage is not provided below, "Full" (100%) will be the default* response.

4A Complete this section if you are transferring in kind or rolling over Franklin Templeton Funds

Franklin Templeton investment/fund name	Share class	Account number at current institution (REQUIRED)	Full	Amount to transfer/roll over
			☐ OR	% OR $
			☐ OR	% OR $
			☐ OR	% OR $
			☐ OR	% OR $
			☐ OR	% OR $

4B Complete this section if you are transferring or rolling over non-Franklin Templeton Funds (liquidate and send check)

Fund name	Share class	Account number at current institution (REQUIRED)	Full	Amount to transfer/roll over
			☐ OR	% OR $
			☐ OR	% OR $
			☐ OR	% OR $
			☐ OR	% OR $
			☐ OR	% OR $

5 SPECIAL INSTRUCTIONS FOR CDs

For certificates of deposit (CDs) only: If you would like to transfer assets on specific maturity dates, please provide those dates here.
Please note that Franklin Templeton must receive this form at least two weeks, but not more than three months, prior to the CD maturity date.

Date 1	Date 2	Date 3

6 INVESTMENT ALLOCATION

Please indicate the allocation for your transfer/rollover, providing the amount to be invested in each fund ($) or the percentage of the total allocation (%). Review our current list of mutual funds by visiting franklintempleton.com and clicking "Investments" and then choosing "Mutual Funds."

Make checks payable to FTIOS.

- **The total minimum investment amount is $250.00 for most funds.** See prospectus section titled "Buying Shares" for more information.
- If no fund is provided or we are unable to determine the name of the fund requested, any new money received will be invested in the Franklin U.S. Government Money Fund and we will follow up with you for clarification.
- If no fund is provided and the proceeds are coming from an already established Franklin Templeton account, the proceeds will be invested in the same fund as the originating account.
- If no share class is provided or the share class is unclear, Class A shares will be purchased.
- **You must have a broker-dealer to purchase Class C shares.**
- Advisor Class and Class Z shares are available only to certain, eligible investors. Refer to "Qualified Investors" in the prospectus for more information.
- If no dollar amount or percentage is provided, your investment will be apportioned equally among the funds indicated below.
- The total dollar amount or percentage must equal 100% of your investment.
- If you are opening a Rollover IRA with a distribution from an employer-sponsored retirement plan (as defined in the Fund's prospectus) for which FTIOS was the custodian or plan trustee, your investments will be made into Class A shares without a sales charge.

SEE NEXT PAGE FOR INVESTMENT ALLOCATION OPTIONS

6 INVESTMENT ALLOCATION (cont'd.)

☐ Invest the transfer or rollover proceeds in my existing account(s) as indicated below:

FUND NUMBER	ACCOUNT NUMBER	DOLLAR AMOUNT		PERCENTAGE
		$	OR	%
		$	OR	%
		$	OR	%

☐ Invest the transfer or rollover proceeds in accordance with the fund selection provided in the attached *Franklin Templeton IRA Application*.
☐ Invest the transfer or rollover proceeds in accordance with the fund selection provided in the attached *Franklin Templeton SIMPLE/SEP IRA Application*.
☐ I have an existing Franklin Templeton account, but would like my transfer or rollover proceeds directed to the fund selection(s) and share class listed below.

FUND NUMBER OR TICKER	FUND NAME (List the full name of the fund)	SHARE CLASS	DOLLAR AMOUNT		PERCENTAGE
			$	OR	%
			$	OR	%
			$	OR	%
			$	OR	%
			$	OR	%
			$	OR	%
			$	OR	%
			$	OR	%

IF YOU WOULD LIKE TO INVEST IN ADDITIONAL FUNDS, PROVIDE THE INFORMATION ON A SEPARATE SHEET.

7 SIGNATURE

I have established an IRA (as indicated in Section 2) with Fiduciary Trust International of the South ("FTIOS"). Please accept this as your authorization to transfer/roll over the assets specified in Sections 4 and 5 to FTIOS, as custodian for my IRA. If any of the assets listed are currently invested in Franklin Templeton fund shares, I authorize that they be transferred/rolled over in kind, not redeemed. I certify that none of the assets to be transferred/rolled over include any minimum required distribution amounts under IRC Section 401(a)(9) for the current year.

☐ I have previously completed or am including a *Franklin Templeton IRA Application* or *SIMPLE IRA/SEP IRA Application*.

FRANKLIN TEMPLETON ACCOUNT OWNER SIGNATURE ONLY

The registered owner named in Section 1 must sign below.

X ______________________________ Date ____________

Signature

SIGNATURE GUARANTEE OR MEDALLION GUARANTEE STAMP:
(If required by the current trustee/custodian, not required by FTIOS)

A signature may be guaranteed by a bank, savings and loan association, trust company, credit union, broker-dealer or any other "eligible guarantor institution" as defined under the rules adopted by the Securities and Exchange Commission. These institutions often participate in signature guarantee medallion programs such as the Securities Transfer Agent Medallion Program (STAMP). A notary public cannot provide a signature guarantee.

IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT: ☐ Me ☐ My financial professional

Financial professional name ____________________ Phone number of financial professional (   )

(continued)

FOR RESIGNING CUSTODIAN/TRUSTEE ONLY

NOTE: All amounts to be transferred/rolled over should be sold (liquidated) except for Franklin Templeton fund shares, which shall be transferred/rolled over in kind.

Fiduciary Trust International of the South ("FTIOS") hereby accepts the transfer or rollover of assets requested herein as custodian for the type of IRA shown in Section 2 for benefit of the account owner. This acceptance extends only to cash and Franklin Templeton fund shares.
Please make the check(s) (if applicable) payable to FTIOS custodian for (IRA type from Section 2) of (account owner's name)—"Transfer"/"Rollover" and mail the check(s) with a copy of this request to one of the addresses listed below.

Authorized Signature—Fiduciary Trust International of the South

x Gail E. Cohen

Gail E. Cohen, President and CEO

BEFORE YOU SUBMIT...

DID YOU PROVIDE?

- ☐ A typed or handwritten form in capital letters using blue or black ink.
- ☐ A Franklin Templeton case number related to your request on page 1 (if you were provided with one).

SECTION 1

Information for the Account Owner authorized to transact business on the account:

- ☐ Full first and last name
- ☐ Social Security Number/ITIN
- ☐ Date of Birth
- ☐ Email address

SECTION 2

- ☐ Type of account into which the transfer or rollover will be invested

Note: *If requesting a rollover from a 401(k), 403(b), Profit Sharing or Money Purchase Plan, please contact the plan trustee regarding additional forms that may be needed to complete the rollover.*

SECTION 3

- ☐ Contact information for the current trustee or custodian of your retirement plan

SECTION 4

- ☐ Account number(s) at the current institution

SECTION 6

- ☐ Fund name(s) and share class (if Class C selected, Broker-Dealer is required)
- ☐ Dollar amount or percentage(s) equal to 100%

SECTION 7

- ☐ The signature of the Account Owner and date signed

MAKE A PHOTOCOPY OF THE COMPLETED FORM FOR YOUR RECORDS

IMPORTANT: If an original signature guarantee or notary is required you may NOT email or fax your documents.

EMAIL	FAX	MAIL
• Emails **MUST** include an attachment (PDF preferred) of your request and related case number(s) to be accepted. • Digital communication channels are not necessarily secure. If you do choose to send confidential or sensitive information to us via digital communication channels (e.g. email, chat, text messaging, fax), you are accepting the associated risks related to potential lack of security, such as the possibility that your confidential or sensitive information may be intercepted/accessed by a third party and subsequently used or sold. • If you have not been registered on franklintempleton.com for at least 15 calendar days, call (800) 527-2020 to request a case number to reference in your email. **Financial Professionals:** ftrequests@franklintempleton.com **Shareholders:** shrequests@franklintempleton.com	(855) 891-8377	You may use any of the below mailing addresses: **Regular Mail** • Franklin Templeton P.O. Box 997153 Sacramento, CA 95899-7153 • Franklin Templeton P.O. Box 33033 St. Petersburg, FL 33733-8033 **Overnight** • Franklin Templeton 3344 Quality Drive Rancho Cordova, CA 95670-7313 • Franklin Templeton 100 Fountain Parkway N. St. Petersburg, FL 33716-1205